



Act2Qrypt Virtual Seminar

# Differentially Private Compression and the Sensitivity of LZ77

February 26, 2026

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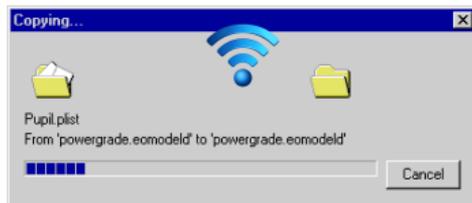
UNIVERSITY OF  
**WATERLOO**

FACULTY OF MATHEMATICS  
Department of Combinatorics  
and Optimization



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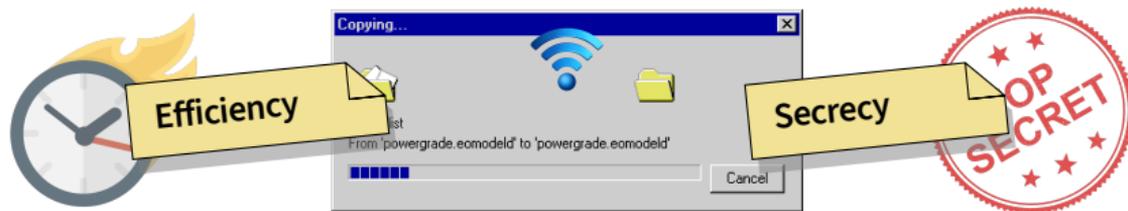
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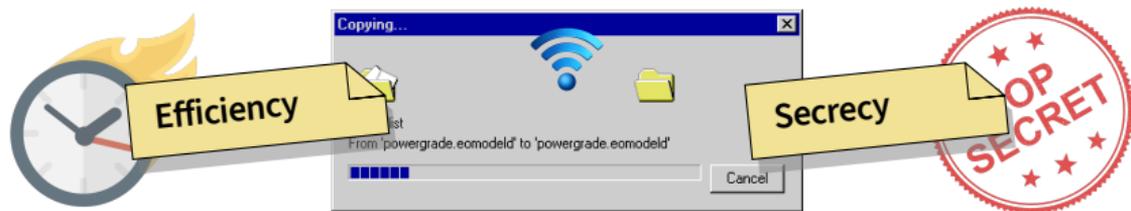
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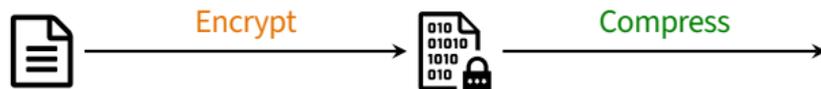
- **Data encryption** protects the **content** of plaintext message



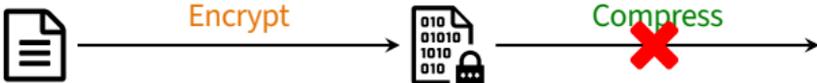
ex) AES-GCM

- ▶ Encryption in transit via TLS 1.3

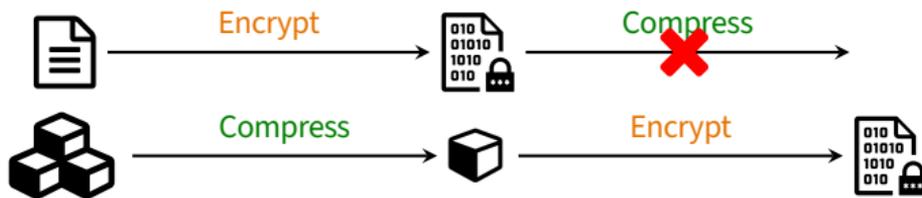
# Compress-Then-Encrypt Framework



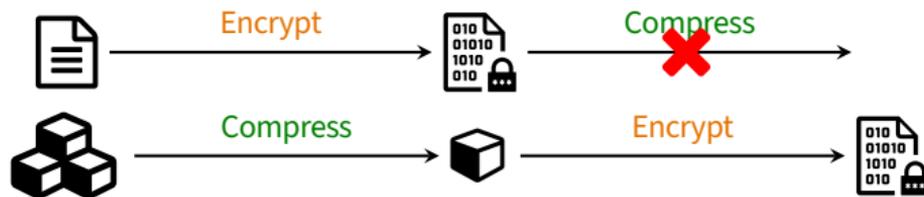
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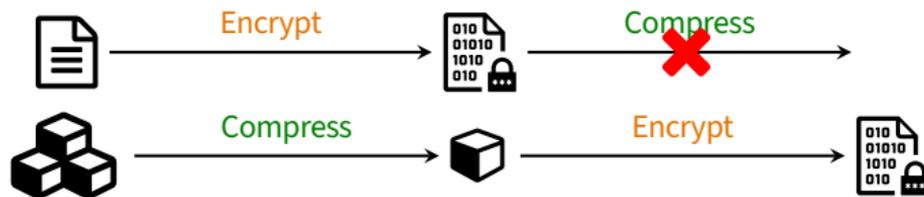


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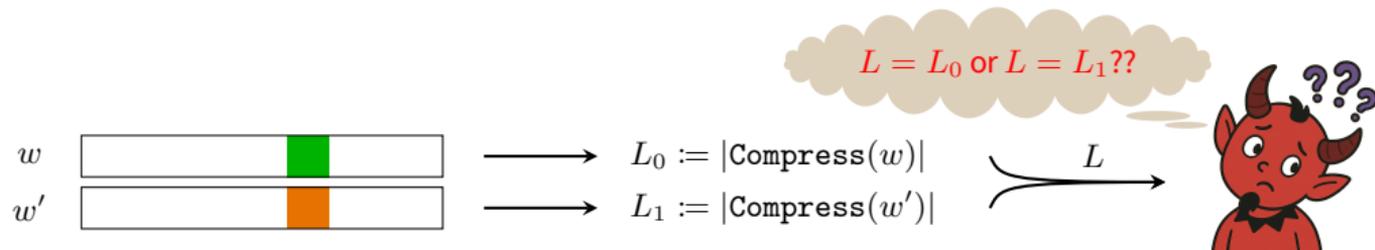
## Real-World Attacks.

- **CRIME** (Compression Ratio Info-leak Made Easy): exploits compression length leakage to hijack TLS sessions
- **BREACH** (Browser Reconnaissance and Exfiltration via Adaptive Compression of Hypertext) attack against the HTTPS protocol exploits compression in the underlying HTTP protocol

*Can one design a **compression scheme** which provides rigorous **privacy guarantees** against an attacker who learns the **length** of the **compressed file**?*

# Differential Privacy for Compression Schemes (1/2)

We will consider two **neighboring strings** (differing in one symbol; denoted by  $w \sim w'$ )



## Definition

- A compression algorithm  $\text{Compress}$  is  **$(\epsilon, \delta)$ -differentially private** if  $\forall w \sim w'$  and  $\forall S \subseteq \mathbb{N}$ ,

$$\Pr [|\text{Compress}(w)| \in S] \leq e^\epsilon \cdot \Pr [|\text{Compress}(w')| \in S] + \delta.$$

- Let  $\Sigma, \Sigma'$  be sets of alphabets. The **global sensitivity** of a compression scheme  $\text{Compress} : \Sigma^* \rightarrow (\Sigma')^*$  for strings of length  $n$  is defined as

$$\text{GS}_{\text{Compress}}(n) := \max_{w \in \Sigma^n} \max_{w' : w \sim w'} \left| |\text{Compress}(w)| - |\text{Compress}(w')| \right|.$$

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- **Note.** Heal the Breach proposal [PFPSÚGDZ22] does **not** satisfy differential privacy
  - ▶ Lagarde and Perifel [LP18]: LZ78 compression is **highly sensitive** to small bit changes
  - ▶ There exists  $w \in \Sigma^n$  such that  $|\text{LZ78}(w)| = o(n)$  but  $|\text{LZ78}(0w)| = \Omega(n)$

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  - ▶ To prevent distinguishing between  $w$  and  $w'$ , the length of the padding should be **at least  $\Omega(n)$**
  - ▶ Heal the Breach adds **padding of length  $o(n)$**

*Does a compression scheme with  
an **optimal** compression ratio  
necessarily have **high sensitivity**?*

# Our Contributions

**Q1.** Does a compression scheme with an optimal compression ratio necessarily have high sensitivity?

- **No!** Kolmogorov compression has low global sensitivity:  $\mathcal{O}(\log n)$ .
- We construct a computable variant of Kolmogorov compression that preserves the global sensitivity, i.e.,  $\mathcal{O}(\log n)$ .

**Q2.** Can one design an efficient compression scheme which provides rigorous privacy guarantees against an attacker who learns the length of the compressed file?

- We give a general framework that transforms any compression scheme into a **differentially private** compression algorithm.
- We give **almost-tight upper/lower bound** for the global sensitivity of the **LZ77 compression algorithm**.
  - ▶ Upper bound:  $\mathcal{O}(n^{2/3} \log n)$  where  $n$ : string length.
    - With bounded sliding window size  $W$ , we have an upper bound  $\mathcal{O}(W^{2/3} \log n)$ .
    - We prove the same GS upper bound for the LZ77 with self-referencing.
  - ▶ Lower bound:  $\Omega(n^{2/3} \log^{1/3} n)$ . (Prior best lower bound:  $\Omega(\sqrt{n})$  [AFI23])

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# How to Construct Differentially Private Compression?

**Standard DP technique:** add a random amount of padding ( $p$  characters)

**How much?** It depends on the **global sensitivity** of the compression algorithm.

DPCompress( $w, \epsilon, \delta$ ):

Compress( $w$ )

011...111

$$p := \max\{1, \lceil Z + k \rceil\}$$

$$Z \sim \text{Lap}\left(\frac{\text{GS}}{\epsilon}\right) \quad k = \text{GS} \cdot \left(\frac{1}{\epsilon} \ln\left(\frac{1}{2\delta}\right) + 1\right) + 1$$

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## Theorem

DPCompress( $w, \epsilon, \delta$ ) is  $(\epsilon, \delta)$ -differentially private.

- $k$  is proportional to  $\text{GS}_{\text{Compress}}$  (not proportional to  $n$ ).
- It is important to understand  $\text{GS}_{\text{Compress}}$  for widely used compression schemes.

# Practicality of DPCompress

**Recall.** We add a padding of length  $p := \max\{1, \lceil Z + k \rceil\}$  where  $Z \sim \text{Lap}(\text{GS}/\varepsilon)$ .

On average, **the amount of padding** is approximately  $\mathbb{E}[p] \approx k = \text{GS} \cdot \left(\frac{1}{\varepsilon} \ln\left(\frac{1}{2\delta}\right) + 1\right) + 1$ .

- If  $k = o(n)$ , we achieve efficient compression ratio:

$$\frac{|\text{DPCompress}(w, \varepsilon, \delta)|}{n} = \frac{|\text{Compress}(w)| + k}{n} = \frac{|\text{Compress}(w)|}{n} + o(1).$$

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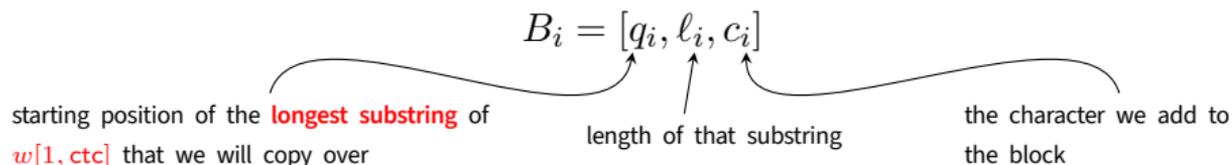
## Question

Can we find practical compression schemes with global sensitivity  $\text{GS} = o(n)$ ?

- **Recall.** LZ78 does not satisfy low sensitivity [LP18].
- This motivates our study of the global sensitivity of the LZ77 compression scheme.
  - ▶ **Almost-tight upper and lower bound** for the **global sensitivity of LZ77** that are  $o(n)$ .

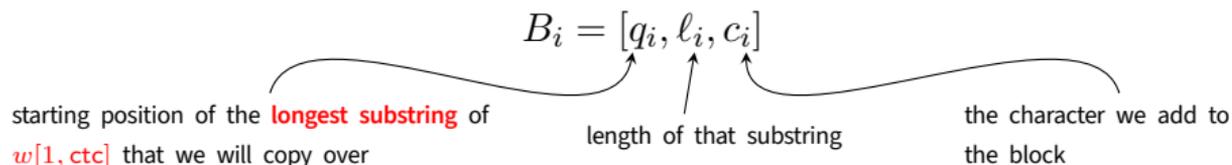
# LZ77 Compression Scheme

- **Input:** a string  $w \in \Sigma^n$  where  $\Sigma$ : a set of alphabets
- **Output:** a sequence of blocks  $(B_1, \dots, B_t)$
- We maintain a counter **ctc**: we have encoded ctc characters so far



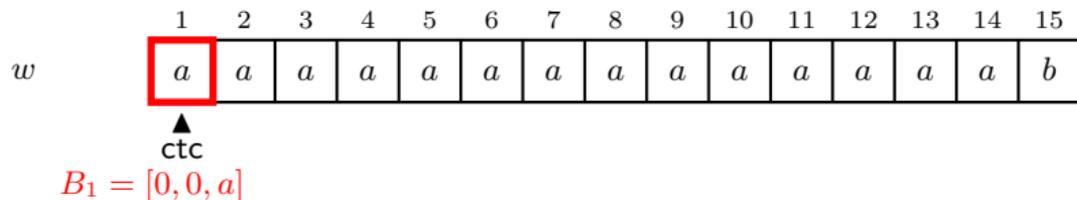
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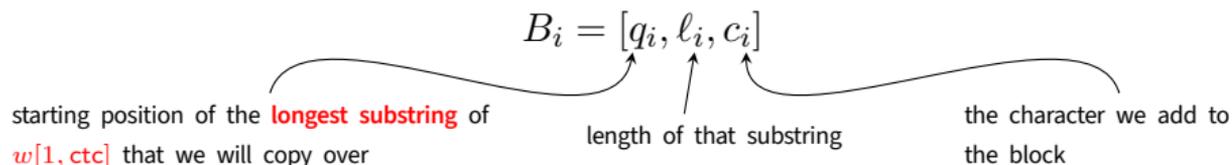
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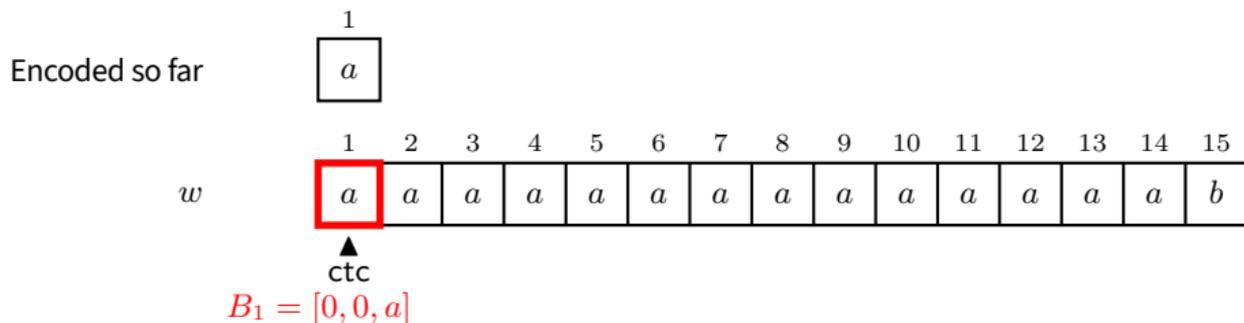


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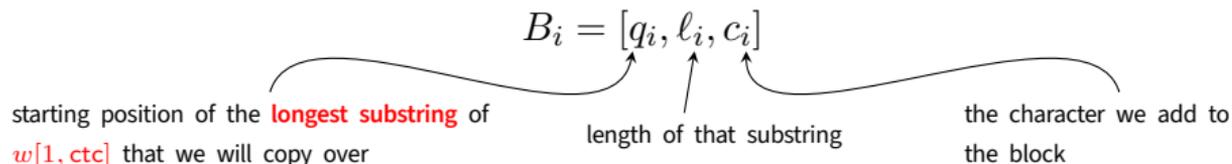


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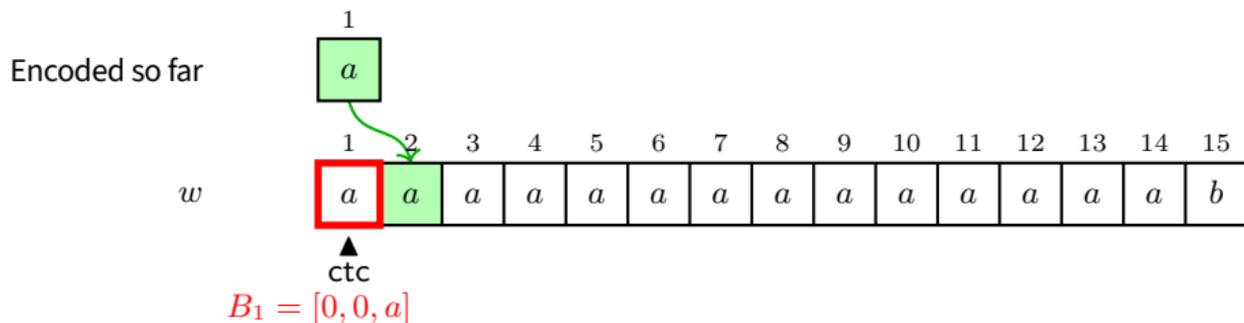


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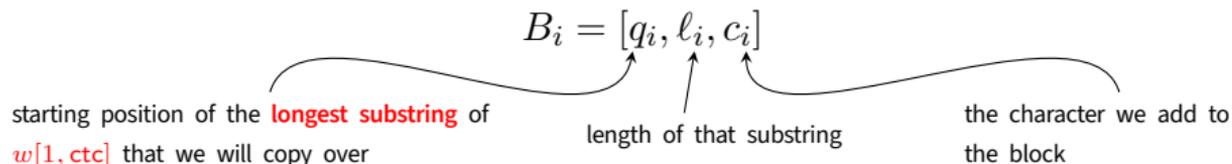


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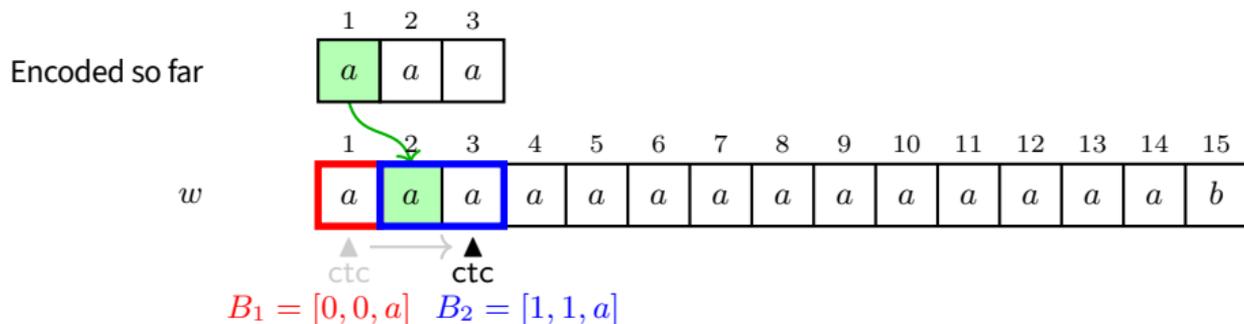


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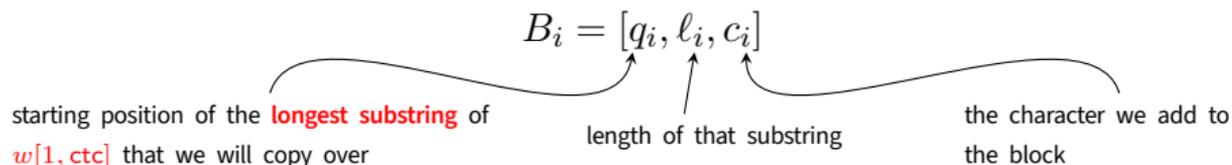


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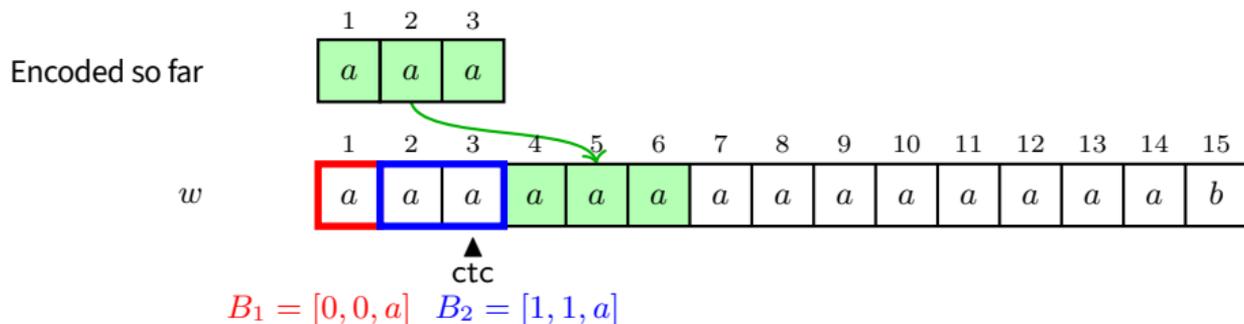


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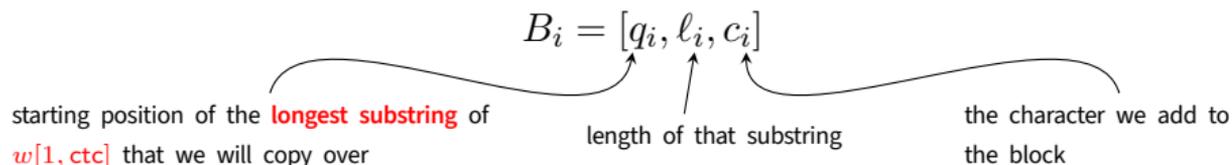


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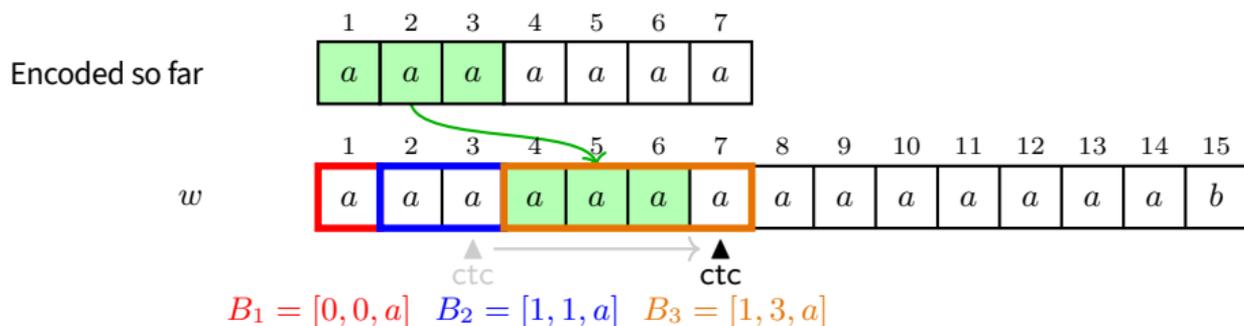


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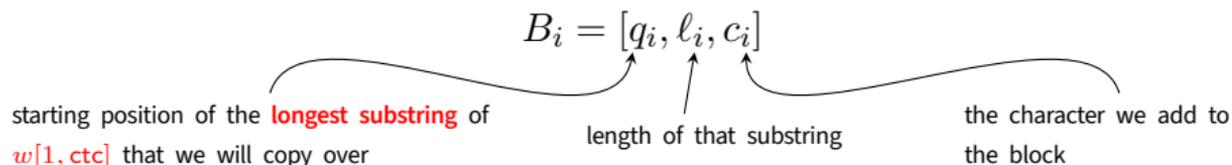


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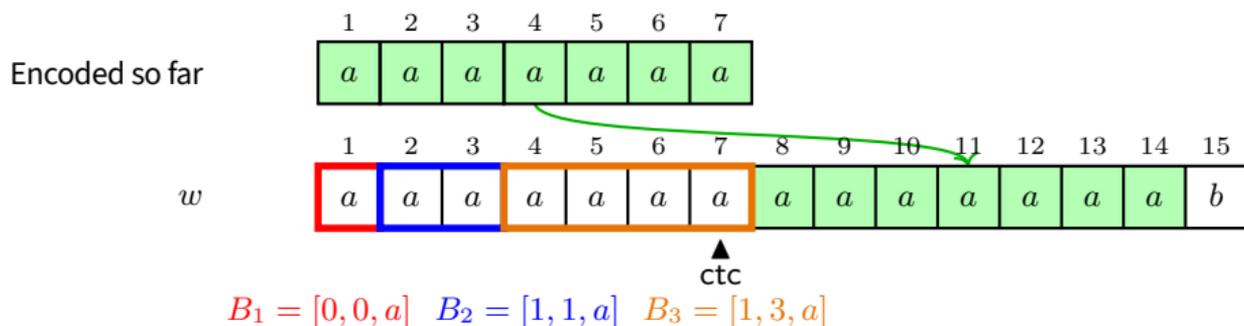


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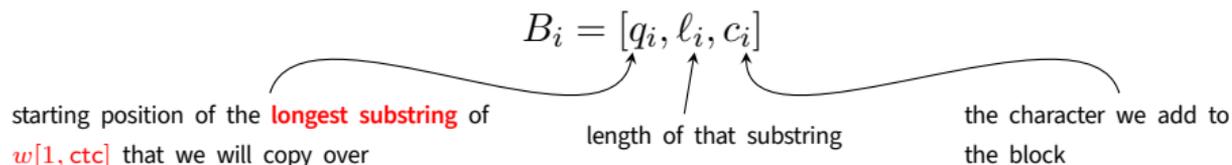


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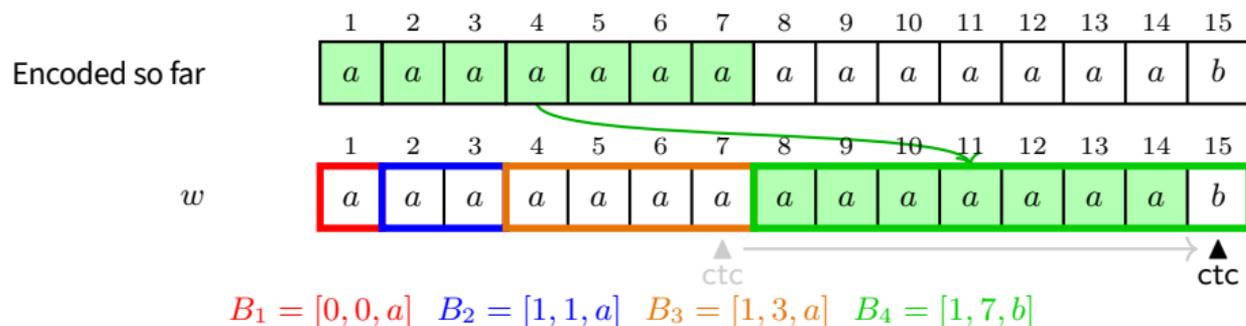


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# Upper Bound for the Global Sensitivity of LZ77

- Let  $\text{LZ77}(w) = \underbrace{(B_1, \dots, B_t)}_{t \text{ blocks}}$  and  $\text{LZ77}(w') = \underbrace{(B'_1, \dots, B'_{t'})}_{t' \text{ blocks}}$
- $B_i = [q_i, \ell_i, c_i]$  such that  $0 \leq q_i, \ell_i < n$  and  $c_i \in \Sigma$ 
  - ▶ It takes  $2\lceil \log n \rceil + \lceil \log |\Sigma| \rceil$  bits to encode each block
- It is crucial to understand the **upper bound** of  $|t' - t|$  (i.e., the difference of the number of blocks)

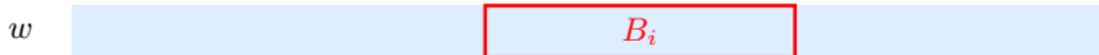
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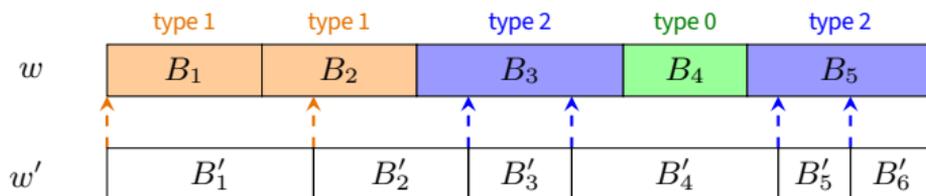
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**Note.** We will abuse the notation that  $B_i$  can also denote the actual string that represents the block.



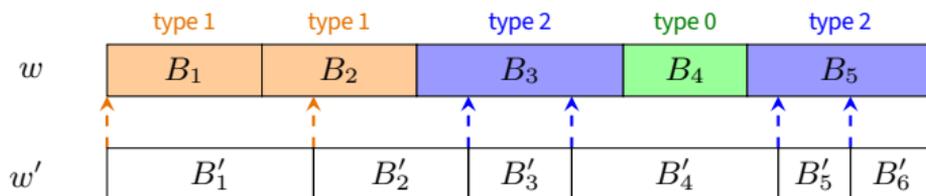
# Combinatorial Property of the Blocks

- $\text{LZ77}(w) = (B_1, \dots, B_t), \text{LZ77}(w') = (B'_1, \dots, B'_{t'})$
- We can split the set of blocks  $\{B_1, \dots, B_t\}$  in  $\text{LZ77}(w)$  based on the **number of blocks (of  $w'$ ) that start inside  $B_i$** .



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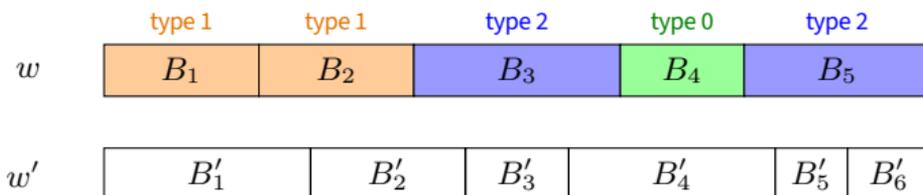
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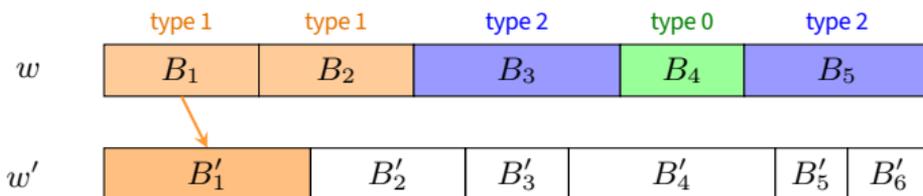


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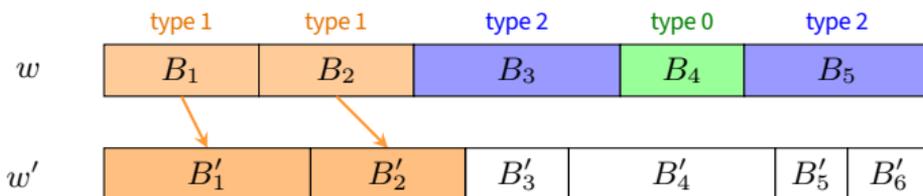


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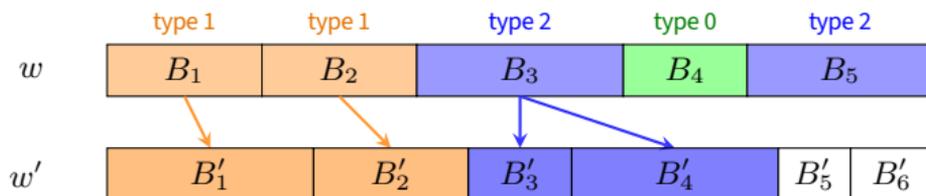


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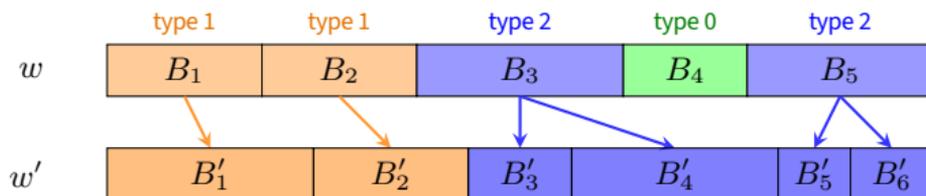


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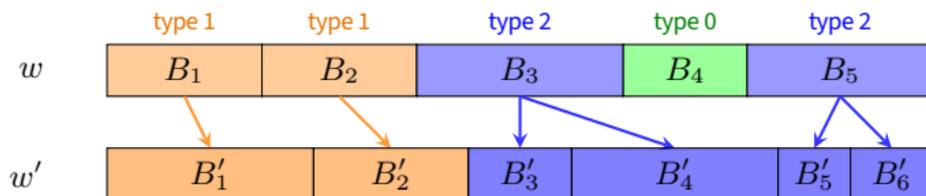


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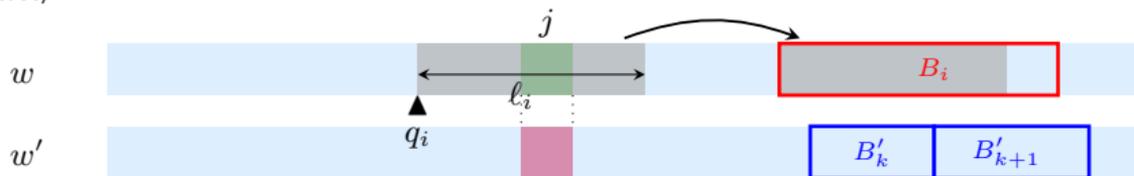
- Hence,

$$t' - t = t_2 - t_0 \leq t_2.$$

∴ We need to upper bound  **$t_2$  (the number of type-2 blocks)**.

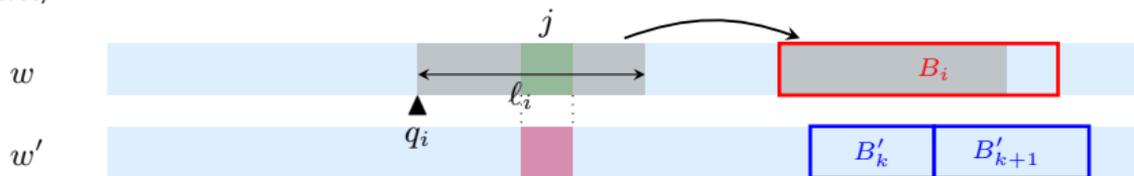
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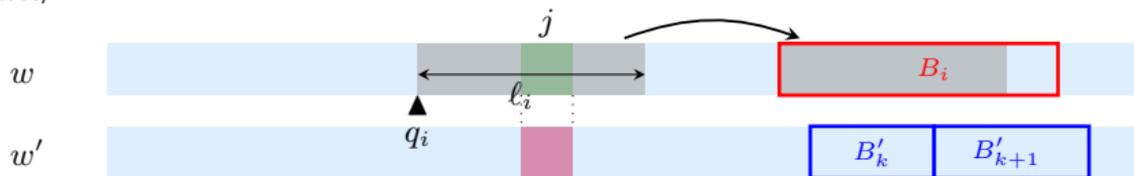


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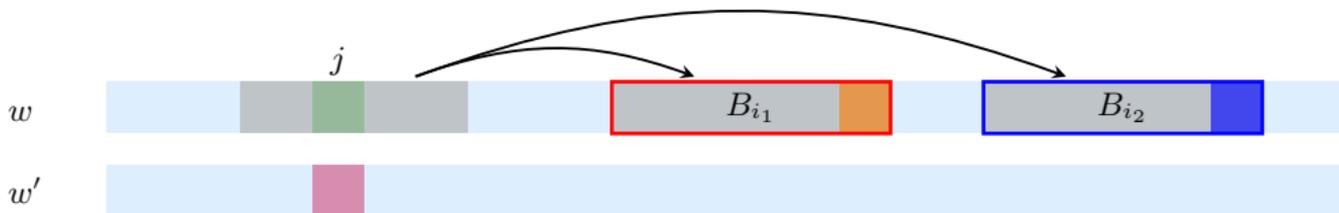
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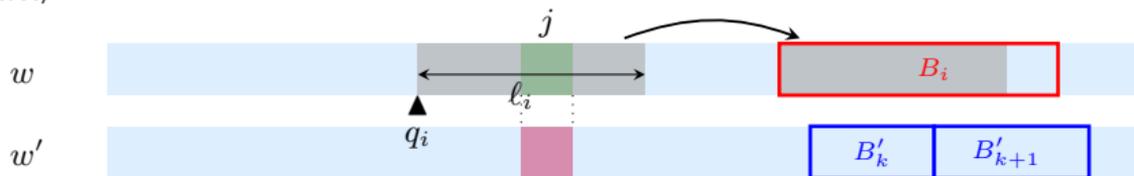
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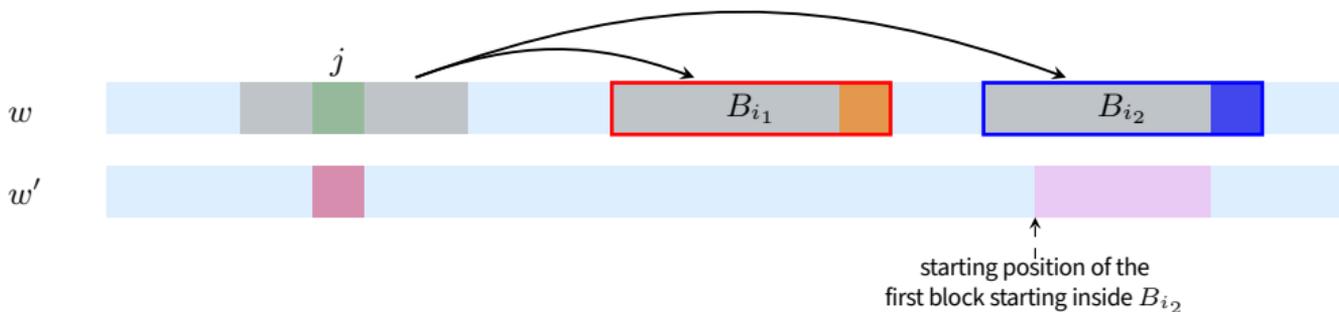
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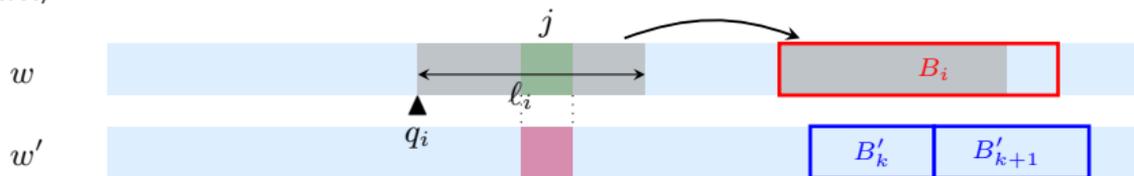
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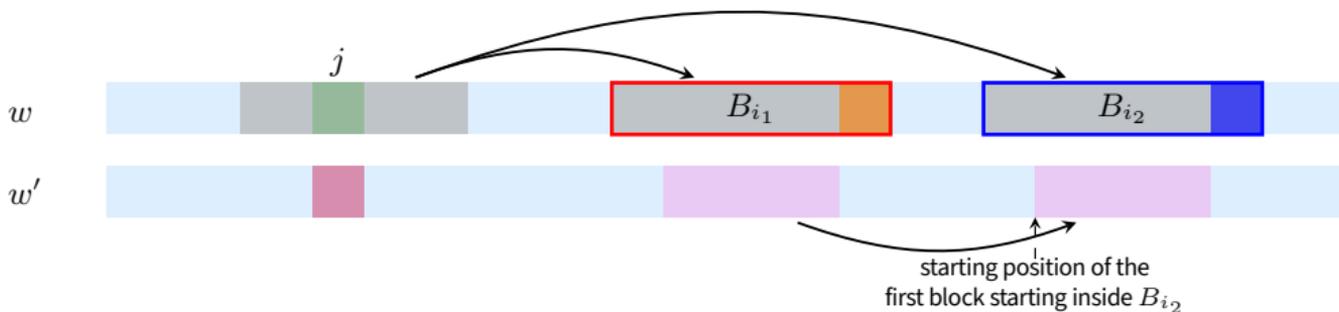
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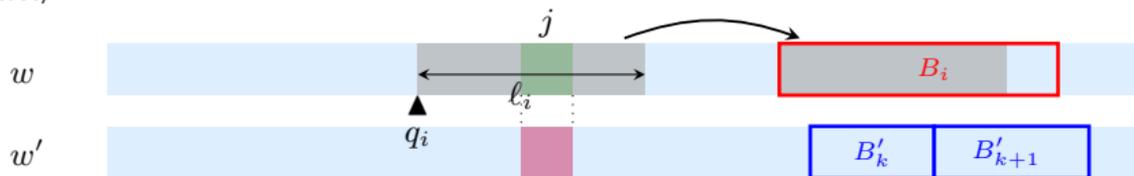
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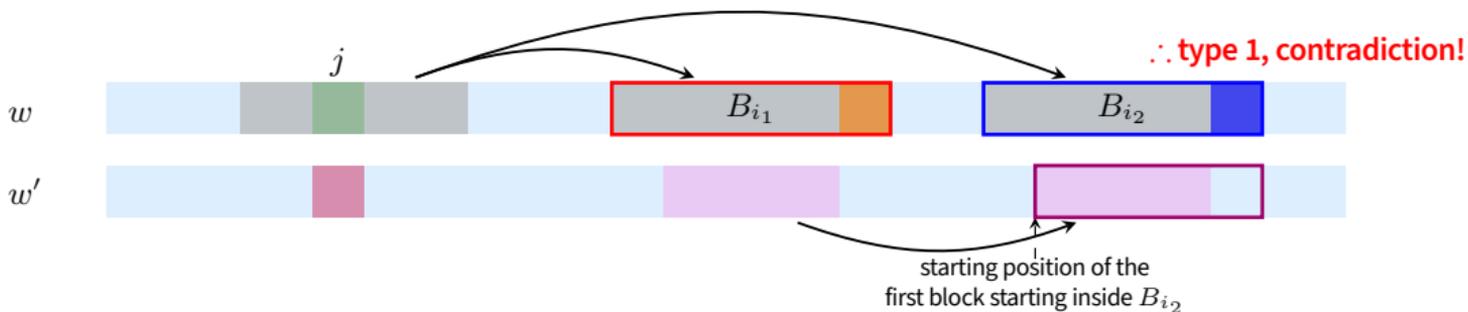
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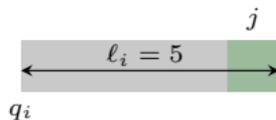
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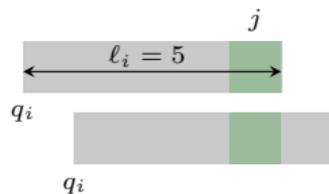
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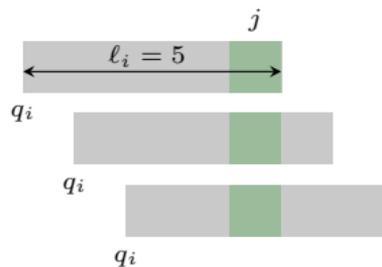
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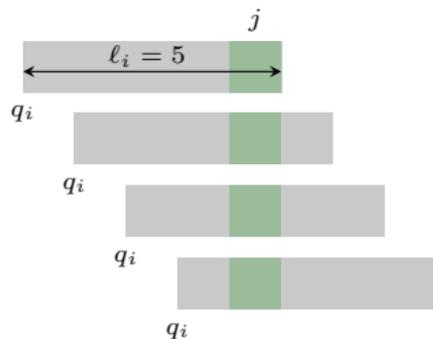
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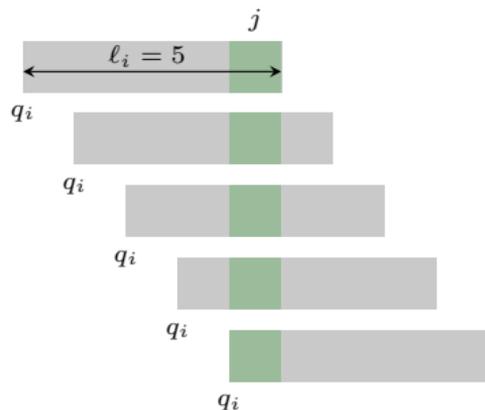
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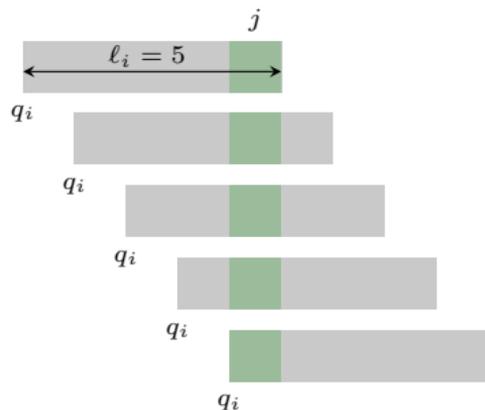
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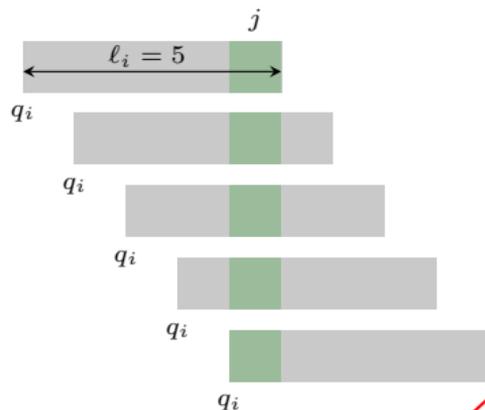


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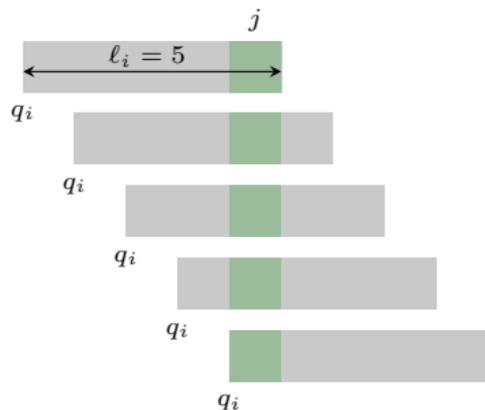
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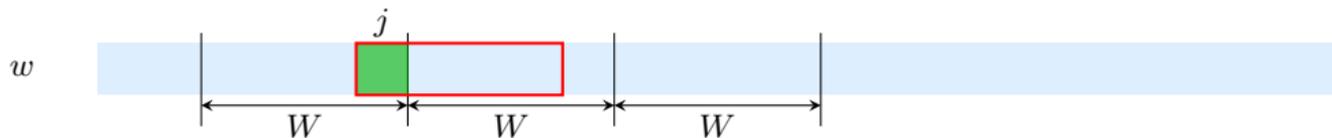
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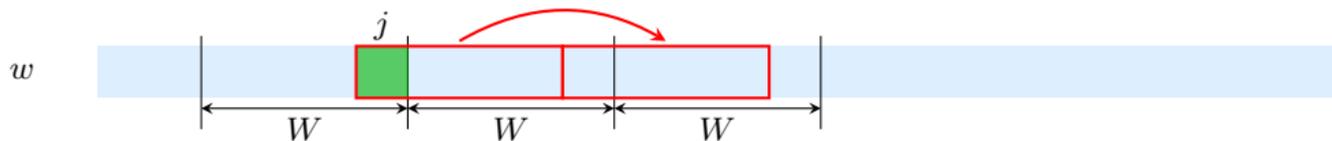
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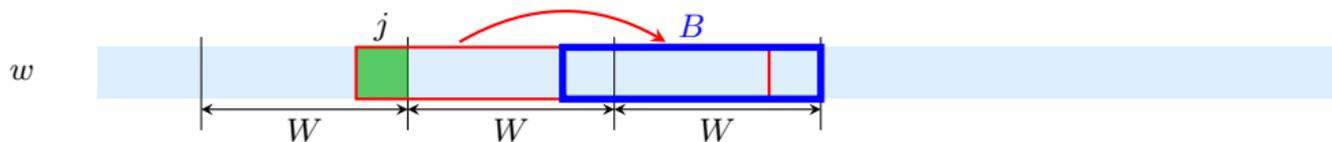
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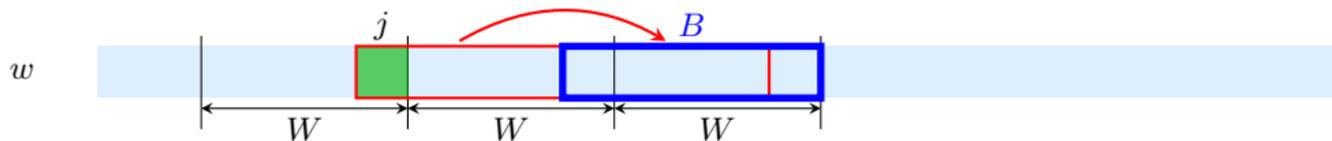
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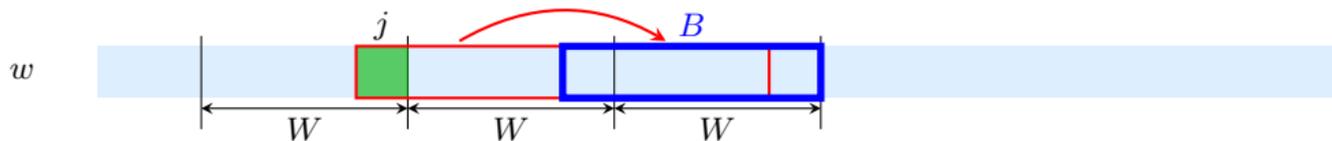
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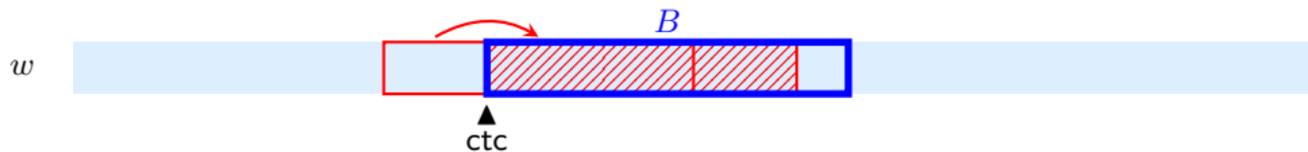
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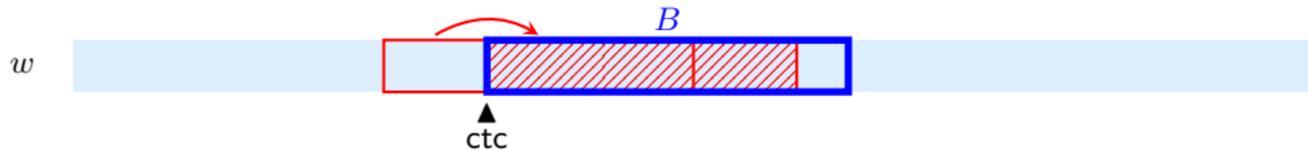
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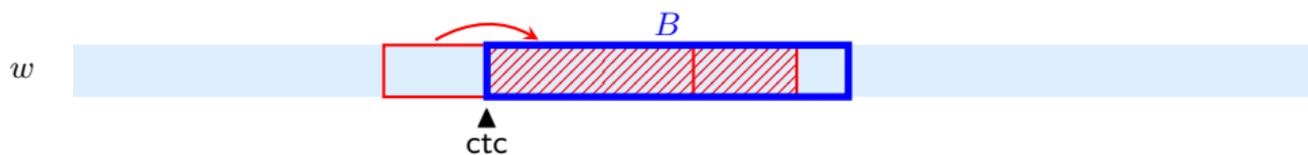
	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
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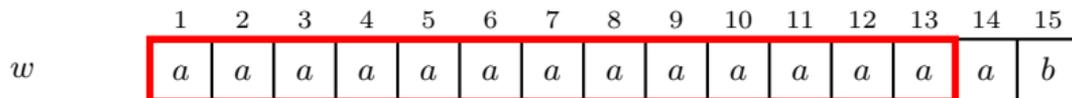
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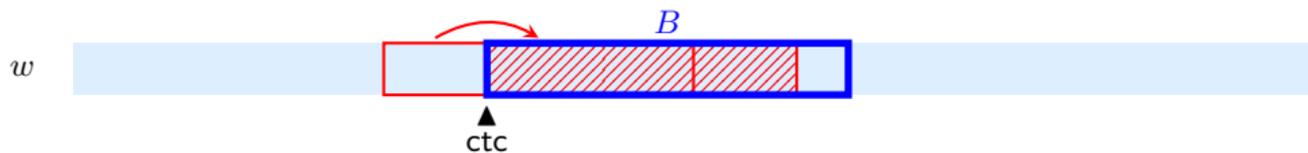


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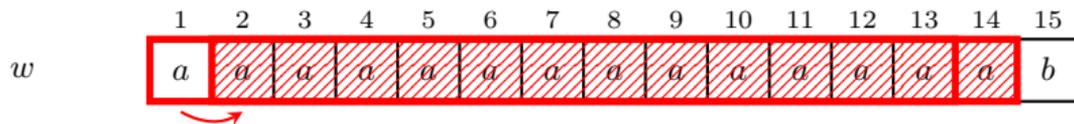
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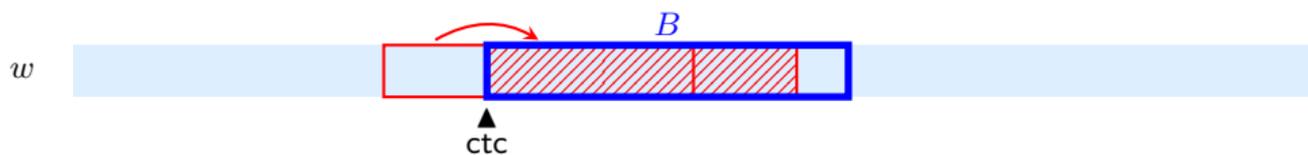


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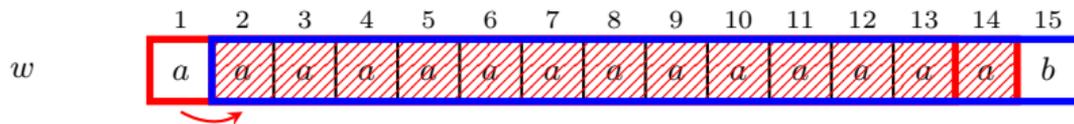
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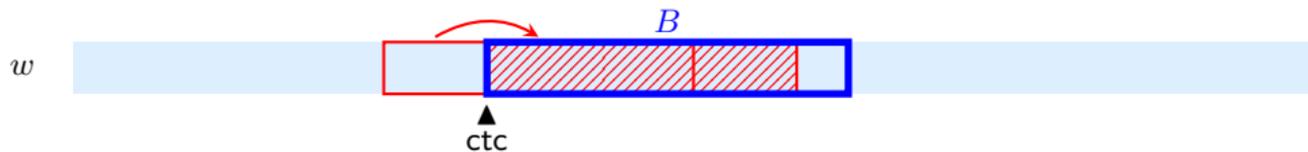


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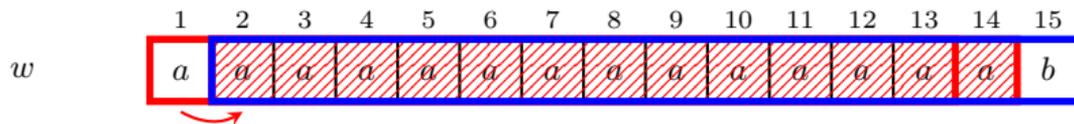
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## Theorem (informal)

For LZ77 with self-referencing with the sliding window size  $W$ , the global sensitivity is also  $\mathcal{O}(W^{2/3} \log n)$ .

# GS Lower Bound for LZ77: String Construction

## Recall

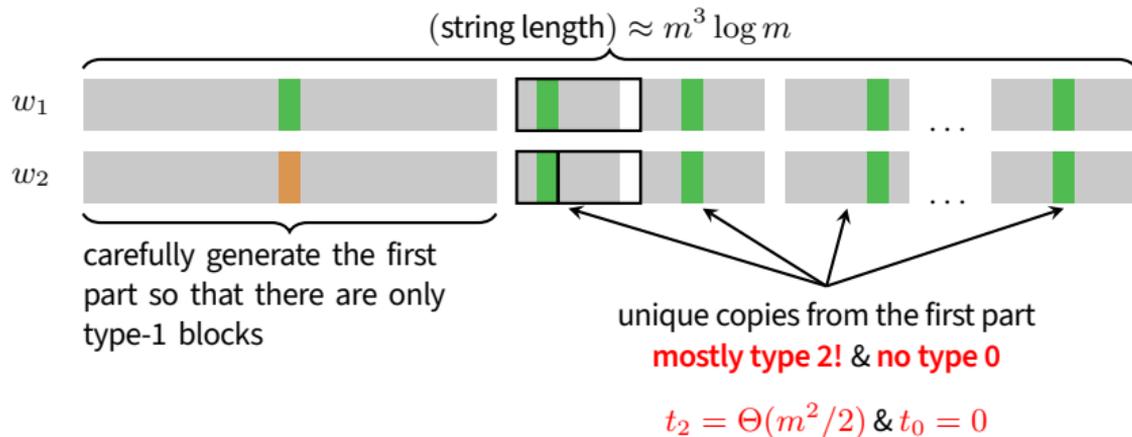
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# GS Lower Bound for LZ77: String Construction

## Recall

For  $\text{LZ77}(w_1) = (B_1, \dots, B_t)$  and  $\text{LZ77}(w_2) = (B'_1, \dots, B'_{t'})$ , we have  $t' - t = t_2 - t_0$ , where  $t_i$  denotes the number of type- $i$  blocks.

## High-Level Idea.

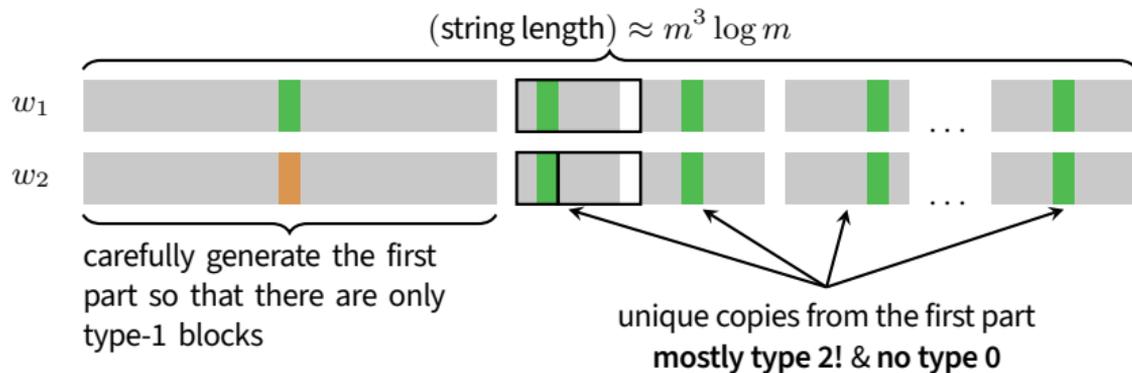


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$$\begin{aligned} \therefore \|\text{LZ77}(w_1) - \text{LZ77}(w_2)\| \\ = \Omega(n^{2/3} \log^{1/3} n) \text{ for } n = m^3 \log m \end{aligned}$$

$$t_2 = \Theta(m^2/2) \text{ \& } t_0 = 0$$

# Conclusion

- General framework to convert any compression scheme to **differentially private** compression scheme
  - ▶ Random amount of padding proportional to the **global sensitivity** of the compression scheme
- **Almost-tight upper and lower bound** for the global sensitivity of LZ77 compression
  - ▶  $GS_{LZ77}(n) = \mathcal{O}(n^{2/3} \log n)$  and  $GS_{LZ77}(n) = \Omega(n^{2/3} \log^{1/3} n)$
  - ▶ With sliding window size  $W$ ,  $GS_{LZ77}(n) = \mathcal{O}(W^{2/3} \log n)$
  - ▶ Same upper bound holds for LZ77 with self-referencing (which allows overlapping matches)
- Since  $GS_{LZ77}(n) = o(n)$ , we can argue that **LZ77 is practical for DPCompress**:

$$\frac{|DPLZ77(w, \varepsilon, \delta)|}{n} = \frac{|LZ77(w)|}{n} + o(1).$$

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## Open Problems.

- Can we tighten/close the gap for LZ77?
- Global sensitivity for other compression schemes, e.g., Burrows-Wheeler Transform, Prefix-Free Parsing, etc.?
- "Sensitivity" for consecutive edits? (e.g., replace Alice's password with a random unrelated password)
- Can we design less sensitive compression schemes that are practical?

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Thank You!



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